

U.S. Application No.

International Application No.  
PCT/JP03/04202

Attorney Docket No.

SAEGU86.003APC

10/509793

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Date: September 30, 2004

**TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 USC 371**

International Application No.: PCT/JP03/04202  
International Filing Date: April 2, 2003  
Priority Date Claimed: April 2, 2002  
Title of Invention: ESTER COMPOUND, PLASTICIZER FOR BIODEGRADABLE ALIPHATIC  
POLYESTER RESINS, AND BIODEGRADABLE RESIN COMPOSITION  
Applicant(s) for DO/EO/US: Yasunori FUJITA, Osamu SAWA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. (X) This is a **FIRST** submission of items concerning a filing under 35 USC 371.
2. (X) This express request to begin national examination procedures (35 USC 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 USC 371(b) and PCT Articles 22 and 39(1).
3. (X) A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
4. (X) A copy of the International Application as filed (35 USC 371(c)(2))
  - a) () is transmitted herewith (required only if not transmitted by the International Bureau).
  - b) (X) has been transmitted by the International Bureau.
  - c) (X) a copy of Form PCT/IB/308 is enclosed.
  - d) () is not required, as the application was filed in the United States Receiving Office (RO/US).
5. (X) A translation of the International Application into English (35 USC 371(c)(2)).
6. (X) Amendments to the claims of the International Application under PCT Article 19 (35 USC 371(c)(3))
  - a) () are transmitted herewith (required only if not transmitted by the International Bureau).
  - b) () have been transmitted by the International Bureau.
  - c) () have not been made; however, the time limit for making such amendments has NOT expired.
  - d) (X) have not been made and will not be made.
7. (X) A **SIGNED** Declaration of the inventor and Power of Attorney (35 USC 371(c)(4)).
8. (X) PRELIMINARY AMENDMENT: Please refer to the attached sheet.
9. (X) 4 Sheets of Drawings.
10. (X) International Application as Published (WO 03/087031 A1) – face sheet only.
11. (X) International Search Report (PCT/ISA/210).
12. (X) PCT Form: PCT/IB/304.

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13. (X) Translation of PCT Article 34 Amendment in nine (9) pages.
14. (X) PCT Request Form.
15. (X) A Verification of A Translation.
16. (X) A return prepaid postcard.
17. (X) The following fees are submitted:

				FEEs
BASIC FEE				\$920
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	20 - 20 =	0 ×	\$18	\$0
Independent Claims	6 - 3 =	3 ×	\$86	\$258
Multiple dependent claims(s) (if applicable)			\$290	\$290
TOTAL FEE ENCLOSED		\$1,468		

18. (X) A check in the amount of \$1,468 to cover the above fees is enclosed.
19. (X) Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property.
20. (X) The Commissioner is hereby authorized to charge only those additional fees which may be required, now or in the future, to avoid abandonment of the application, or credit any overpayment to Deposit Account No. 11-1410.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:



Katsuhiro Arai  
Reg. No. 43,315  
Customer No. 20,995

MAIL STOP PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

**Attorney Docket No.** : SAEGU86.003APC

**Applicant(s)** : FUJITA, et al.

**For** : ESTER COMPOUND, PLASTICIZER FOR  
BIODEGRADABLE ALIPHATIC  
POLYESTER RESINS, AND  
BIODEGRADABLE RESIN COMPOSITION

**Attorney** : Katsuhiro Arai

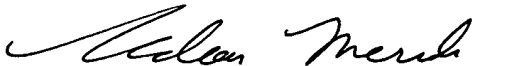
**"Express Mail"**  
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**Date of Deposit** : September 30, 2004

I hereby certify that the accompanying

Transmittal letter (with the attached Preliminary Amendment); Specification in 74 pages; 4 sheets of Drawings; **SIGNED** Declaration and Power of Attorney 2 pages; Recordation Form Cover Sheet and Assignment in 3 pages; International Application as Published (WO 03/087301 A1 - face sheet only); International Search Report (PCT/ISA/210); PCT Forms: PCT/IB/304 & 308; PCT Request Form; A Verification of a Translation; Translation of PCT Article 34 Amendment in 9 pages; \$1,468 and \$40 Checks for Filing Fee and Assignment Recordation Fee; Return Prepaid Postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the United States Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202.

  
Nelson Merida

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